

18 NCAC 12 .1318 RECORD RETENTION REQUIREMENT OF FILERS PURSUANT TO G.S. 120C-800(B) OR 120C-800(D) WHEN AN INVESTIGATION IS OPEN

Persons permitted to file a document and who did file a document with the Department pursuant to G.S. 120C-800(b) or G.S. 120C-800(d) subject to Rule .1317 of this Chapter, shall maintain such records until the earlier of the following:

- (1) The Department's jurisdiction over the person ceases; or
- (2) Three years from the later of:
 - (a) Receipt of notice that the investigation has been closed and that no further action will be taken by the investigating authority and no other related investigation or inquiry is open; or
 - (b) Termination or closure of any judicial or quasi-judicial proceeding related to the investigation or inquiry.

History Note: Authority G.S. 120C-101(a); 120C-101(b); 120C-600; 120C-603; 120C-800; Temporary Adoption Eff. January 1, 2007; Eff. December 1, 2007; Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017.